

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PHASE OF
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents
Washington, D.C. 20231



TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 0284110 /C 28003/I
M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group:

Date: December 4, 2001

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

1. International Application	2. International Filing Date	3. Earliest Priority Date Claimed
PCT/GB00/02163	5 June 2000	8 June 1999
↑ country code	Day MONTH Year	Day MONTH Year
		(use item 2 if no earlier priority)

4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☐ 20 months from above item 3 date (b) ☒ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is December 8, 2001

5. Title of Invention RECEIVER MEDIUM FOR DIGITAL IMAGING

6. Inventor(s) BUTTERS, Alan et al

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).

8. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:

- a. ☒ Request;
- b. ☒ Abstract;
- c. 18 pgs. Spec. and Claims;
- d. 4 sheet(s) Drawing which are ☐ informal ☒ formal of size ☒ A4 ☐ 11"

9. ☒ A copy of the International Application has been transmitted by the International Bureau.

10. A translation of the International Application into English (35 U.S.C. 371(c)(2))

- a. ☐ is transmitted herewith including: (1) ☐ Request; (2) ☐ Abstract;
(3) _____ pgs. Spec. and Claims;
(4) _____ sheet(s) Drawing which are:
☐ informal ☐ formal of size ☐ A4 ☐ 11"
- b. ☐ is not required, as the application was filed in English.
- c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
- d. ☐ Translation verification attached (not required now).

JC13 Rec'd PCT/PTO 04 DEC 2001

11. ☒ Please see the attached Preliminary Amendment
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:**
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments** made before 18th month, **is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).**
15. **A declaration of the inventor** (35 U.S.C. 371(c)(4))
- a. ☒ is submitted herewith ☐ Original ☒ Facsimile/Copy
- b. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**
- a. Was prepared by ☒ European Patent Office ☐ Japanese Patent Office ☐ Other
- b. ☒ has been transmitted by the international Bureau to PTO.
- c. ☒ copy herewith (1 pg(s).) ☒ plus Annex of family members (1 pg(s).).
17. **International Preliminary Examination Report (IPER):**
- a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.
- b. ☒ copy herewith in English.
- c.1 ☒ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:
- c.2 ☒ Specification/claim pages #16, 17 & 18 claims # 1 - 19
Dwg Sheets #
- d. ☐ Translation of Annex(es) to IPER **(required by 30th month due date, or else annexed amendments will be considered canceled).**
18. **Information Disclosure Statement** including:
- a. ☒ Attached Form PTO-1449 listing documents
- b. ☒ Attached copies of documents listed on Form PTO-1449
- c. ☒ A concise explanation of relevance of ISR references is given in the ISR.
19. ☒ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.
20. ☐ Copy of Power to IA agent.
21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed): ___ sheet(s) per set: ☐ 1 set informal;
☐ Formal of size ☐ A4 ☐ 11"
22. Small Entity Status ☒ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)
- 22(a) ___ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) GREAT BRITAIN of:
- | | Application No. | Filing Date | Application No. | Filing Date |
|-----|-----------------|--------------|-----------------|-------------|
| (1) | 9913172.4 | June 8, 1999 | (2) | |
| (3) | | | (4) | |
| (5) | | | (6) | |
- a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.
- b. ☒ Copy of Form PCT/IB/304 attached.

RE: USA National Phase Filing of PCT/GB00/02163

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24. Attached:

25 Per Item 17.c2, **cancel original** pages # _____, claims # _____, Drawing Sheets # _____**26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☒ 17, ☐ 25 (hilit)

Total Effective Claims	20	minus 20 =	0	x \$18/\$9	=	\$0	966/967
Independent Claims	3	minus 3 =	0	x \$84/\$42	=	\$0	964/965
If any proper (ignore improper) Multiple Dependent claim is present,				add \$280/\$140	+	+280	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ **BASIC FEE REQUIRED, NOW** →→→→A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

1. Search Report was <u>not</u> prepared by EPO or JPO -----	add \$1,040/\$52	0	960/961
2. Search Report was prepared by EPO or JPO -----	add \$890/\$445	+890	970/971

SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

<input type="checkbox"/> B. If USPTO did not issue both International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add \$1,040/\$52	+0	960/961
<input type="checkbox"/> C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), -----	add \$740/\$370	+0	968/969
<input type="checkbox"/> D. If USPTO issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add \$710/\$355	+0	966/967
<input type="checkbox"/> E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) <u>satisfied</u> (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), -----	add \$100/\$50	+0	962/963

SUBTOTAL = \$1170

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ---\$40	+40	(581)
29. If box 15a is x'd, determine whether inventorship on Declaration is different than in international stage. If yes, add (per Rule 497(d)) ---\$130	+	(098)
30. Attached is a check to cover the -----	TOTAL FEES	\$1210

Our Deposit Account No. 03-3975

Our Order No. 041301 | 0284110
C# M#

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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed

Pillsbury Winthrop LLP
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NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Inventor(s): BUTTERS, Alan et al

Filed: Herewith

Title: RECEIVER MEDIUM FOR DIGITAL IMAGING

December 4, 2001

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

Please amend this application as follows:

IN THE SPECIFICATION:

At the top of the first page, just under the title, insert

☒ --This application is the National Phase of International Application
PCT/GB00/02163 filed June 5, 2000 which designated the U.S.
and that International Application

☒ was ☐ was not published under PCT Article 21(2) in English.--

Respectfully submitted,

PILLSBURY WINTHROP LLP
Intellectual Property Group

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Title: Receiver medium for digital imaging

Field of the Invention

This invention relates to a receiver medium for use in digital imaging, and concerns a receiver medium for such use, a method of making such a medium, a method of printing using such a medium and a receiver medium/dye combination.

Background to the Invention

Traditionally, photographs have been created by capturing a latent image on silver halide sensitised film, and then transforming the latent image into a colour reproduction of the original subject by a series of chemical processes. Overall the process is characterised by a continuous (analogue) relationship between an original image and the resulting print. Prints produced in this way have acceptable stability to various invasive agents such as fingergrease and also to fading by light.

In recent years the advent of digital cameras has allowed the capture of images in an electronic digital form, and their subsequent manipulation and printing without recourse to the use of silver films and associated chemical processing. This offers the possibility of substantial control over the whole process, which can now be performed in the home or office by a wide portion of the general population. It is predicted by some that digital imaging will in time substantially replace traditional photographic methods.

Printing processes used for digital imaging include thermal dye transfer printing and ink jet printing.

Thermal dye transfer printing is a generic term for processes in which one or more thermally transferable dyes are caused to transfer from a dyesheet to a receiver in response to thermal stimuli. Using a dyesheet comprising a thin substrate supporting a dyecoat containing one or

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more such dyes uniformly spread over an entire printing area of the dyesheet, printing can be effected by heating selected discrete areas of the dyesheet while the dyecoat is pressed against a receiver sheet, thereby causing dye to transfer to corresponding areas of that receiver. The shape of the pattern transferred is determined by the number and location of the discrete areas which are subjected to heating. Full colour prints can be produced by printing with different coloured dye-coats sequentially in like manner, and the different coloured dye-coats are usually provided as discrete uniform print-size areas in a repeated sequence along the same ribbon-like dyesheet.

High resolution photograph-like prints can be produced by thermal dye transfer printing using appropriate printing equipment, such as a programmable thermal print head or laser printer, controlled by electronic signals derived from video, computer, electronic still camera, or similar signal generating apparatus. A typical high speed thermal print head has a row of individually operable tiny heaters spaced to print six or more pixels per millimetre, using very short hot pulses.

Receiver medium for thermal dye transfer printing generally comprises a substrate sheet supporting a receiver coat of a dye-receptive composition containing a material having an affinity for the dye molecules, and into which they can readily diffuse when an area of dyesheet pressed against it is heated during printing. Such receiver coats are typically around 2 to 6µm thick, and materials with good dye-affinity are generally thermoplastic polymers, such as saturated polyesters, soluble in common solvents to enable them readily to be coated onto the substrate from solution.

In ink jet printing, a stream of charged ink droplets is projected onto ink receptive receiver medium at high velocity, eg up to 20 m/s. Movement of the ink jet may be computer controlled, and images may be formed and printed rapidly. By using inks of different colours a full colour image can be produced. In general, ink jet printing inks are water-based compositions that are usually dye-based solutions. Such inks are widely used in a range of ink jet printers, for commercial, office and domestic use including desk-top printers. A receiver medium for use in ink jet printing generally comprises a substrate carrying an ink absorbent layer that typically comprises a polymer or a mixture of polymers, eg cellulosic

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polymers such as carboxymethyl cellulose and especially hydroxyethyl cellulose; gelatins; vinyl polymers such as a polyvinyl alcohol and polyvinyl pyrrolidone; and acrylic polymers such as polyacrylic acid.

For convenience, dyes and inks for use in digital imaging techniques will be referred to generally as dyes.

Both the above printing methods suffer from the fact that the resulting images generally comprise dyes kinetically frozen in polymeric layers which are at a temperature below their T_g , ie the dye molecules are physically entrapped in the polymer in the form of a solid solution and are not chemically bound in position. Any changes resulting in the system being above the polymer T_g , eg due to thermal energy or ingress of swellants, plasticisers or contaminants such as fingergrease, are liable to result in dye migration which in turn can lead to dye crystallisation and loss of colour density or image blurring and loss of resolution.

It is also known in the art of digital imaging, and in particular thermal dye transfer printing and ink-jet imaging technologies, that dyes printed into low T_g polymeric receiver materials suffer image degradation as a result of dye migration over time. To overcome this, most current imaging systems employ receiver media with relatively high T_g polymers which are stable at room temperature, typically having a T_g of at least 60°C . High T_g receiver systems, however, typically suffer from low dye diffusion rates during the printing process, which in turn results in high dye concentrations near the surface of the image and subsequently poor image stability with respect to light and physical contact.

One approach to improving image stability in thermal dye transfer printing is to apply a polymeric protective layer, either as a separate panel from a thermal transfer dyesheet, or in a separate lamination step. However, this approach can result in image blurring caused by thermal stimulation on application of the layer and also has the drawback of requiring extra material in the form of a separate panel or lamination sheet.

Another approach to improving image stability in thermal dye transfer printing is to arrange for the image dyes to be interactive with the receiver layer. For example, it has been proposed to render dyes immobile by the following methods:

- 1) Acid-base interactions. Here the dyes contain eg two or more acid groups that can form strong interactions with basic groups attached to the receiver polymer, eg as described in WO 96/34766.
- 2) Chelation. US 5,512,531 of Konica discloses the interaction of dyes with metal ions in the receiver by a chelation process.
- 3) Cationic dyes. EP 506,034 of Sony describes the use of cationic dyes with receivers containing layered inorganic materials. These layered materials are capable of absorbing the charged cationic dyes, and holding them in a stable environment.

Finally, performance may be improved by reacting an appropriate dyestuff with the receiver layer, resulting in the formation of a covalent bond to attach the dye to the receiver.

It is a feature of all these interactive methods that insufficient heat is available to allow the dyes to migrate sufficiently to permit the development of the full interaction, and hence to realise the full potential stability of the system. Indeed stratification of the dye at the surface (ie concentration of dye at the surface of the receiver medium) is a particular problem with these systems. The significance of this problem has led to proposals to use acid vapour treatment to fix an image (US 4,880,769), and to use low Tg receiver polymers containing organic acid groups or low Tg receivers containing oligomeric and polymeric acids to reprotonate deprotonated cationic dyes (US 5,627,956).

Summary of the Invention

In one aspect the present invention provides a receiver medium for digital imaging, comprising a substrate having a dye-receiving surface bearing a coating comprising a highly branched functionalised polymer of generally globular form dispersed in a host polymer.

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The extent of branching of a polymer can be defined in terms of the degree of branching, ie the proportion of monomer units carrying a branch. A polymer that is fully branched, that is in which each monomer unit carries a branch, thus has a degree of branching of 1, while a linear, non-branched polymer has a degree of branching of 0. The term "highly branched polymer" is used herein to mean a polymer having a degree of branching of at least 0.5. Such polymers are sometimes also referred to as hyperbranched polymers. The growth pattern of branching may be regular or irregular. Polymers with a degree of branching of 1 (perfect branching) and a regular growth pattern are known as dendrimers. Figure 1 is a representation of a dendrimer, having a degree of branching of 1 and a regular growth pattern, and Figure 2 is a representation of a polymer with a degree of branching of 1 and an irregular growth pattern, both having a tree-like form. It is thus possible, but not essential, for the highly branched polymer to be a dendrimer.

The degree of branching itself is not determinative of the three dimensional shape or form of the polymer. The branched polymers useful in the invention, as well as being highly branched, must be of generally globular form. The term "generally globular form" means non-linear and non-planar in form, having significant extent in all directions and preferably having generally similar dimensions in all directions, ie being generally spherical in form. The ratio of the maximum dimension:minimum dimension of the branched polymer is preferably $\leq 4:1$, more preferably $\leq 3:1$. Dendrimers and similar structures with irregular or regular growth patterns (eg as shown in Figures 1 and 2) are of generally globular form, as branching and growth occurs outwardly in three dimensions, as are polymers of similar form but with a lesser degree of branching, and so are all suitable for use in the present invention. Also suitable are so-called star polymers, which comprise a central core from which a number of branched polymer strands (of the same or different molecular structure) radiate outwardly.

Highly branched polymers of generally globular form, eg dendrimers, have a large number of terminal or end groups per unit molecular weight, typically concentrated at or near the surface of the molecule. The highly branched polymer is functionalised, that is, at least some of the end groups carry functional groups eg selected from: OH, NH₂, NHR, NR₂,

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COOH, CONH₂, NHCOR, CONHR, SO₂NH₂, SO₂NHR, SO₃H, NHCONH₂, NHCONHR, =NOH and PO₃H, in which R is selected from CH, NO₂, Cl, F, Br, C₁₋₆alkyl, C₁₋₆alkoxy, NHCOC₁₋₆alkyl, NHCophenyl, NHSO₂alkyl, NHSO₂phenyl and aryloxy, more preferably from the groups having at least one H atom. Such functional groups at or near the surface of the branched polymer may interact with and bind dye molecules having complementary functional groups, eg dyes as disclosed in WO 96/34766, eg by acid-base reaction, thus having the effect of chemically fixing the dye within the coating on the receiver medium. Such functional groups on the polymer may optionally additionally or alternatively form cross-links with the host polymer. Further, by appropriate molecular design and synthesis and selection of appropriate functional groups it is possible to construct branched polymeric materials with either a hydrophilic surface, eg by use of primary amine functional groups, or a hydrophobic surface, eg by use of functional groups such as alkyl chains. The ability to vary the hydrophobicity of the polymer surface provides the capability of producing polymers with optimum solubility characteristics within the host polymer. The functional groups are thus selected with regard to factors including the nature of the host polymer, and the type of dyes with which the receiver medium is intended for use.

In general, the greater the extent of functionalisation of the highly branched polymer the better. Preferably at least 50%, more preferably at least 70%, of the end groups carry functional groups as discussed above, with the functional groups preferably being at or near the surface of the highly branched polymer so as to be accessible to dye molecules.

The chemistry of the remainder of the highly branched polymer is generally unimportant.

The highly branched polymer typically has a molecular weight of at least 1000.

The radius of gyration of the highly branched polymer in a suitable good solvent is preferably in the range 2 to 10 nm.

Highly branched polymers of generally globular form, eg dendrimers, have low viscosity relative to their molecular weight compared with linear polymers. The reduced viscosity has benefits for processing and handling of the polymers in terms of ease of use, incorporation in

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liquid coatings etc, and also means it is possible to use polymers of higher molecular weight than would otherwise be the case. The reduced viscosity also allows solutions with very high solids content to be used as coatings.

Suitable highly branched polymers, eg dendrimers, may be synthesised using known techniques and are available commercially eg from Aldrich.

The advantage of such highly branched polymers over crosslinked polymer particles is that they present very large surface areas for interaction with dye molecules, are readily soluble in coating solvents and do not present significant light scattering or other optical defects by virtue of their being molecular in nature.

The host polymer is selected having regard to the digital imaging technique for which the receiver medium is intended for use, ie thermal dye transfer printing or ink jet printing. Suitable polymers are well known to those skilled in the art. For thermal dye transfer printing, polymers such as polyesters, poly(vinyl pyridine), vinyl pyrrolidone/vinyl acetate, vinyl chloride/vinyl acetate copolymers, cellulosic materials, polycarbonate etc may be used, while for ink jet printing, polymers such as vinyl polymers, acrylic polymers and cellulosic polymers such as carboxymethyl cellulose and hydroxyethyl cellulose may be used. The host polymer may be crosslinked in a known manner. The host polymer is preferably relatively inert and unreactive with respect to the dye molecules with which the medium is intended for use, with the host polymer having no or only a few groups capable of interacting strongly with the dye. Thus the host polymer should have low affinity for the dye compared with the affinity of the dye for the highly branched polymer, with the dye being freely soluble in the host polymer, so that the dye is able to move freely through the host polymer and thus reach and interact with the highly branched polymer. Suitable mixtures of host polymers may be used. Host polymers are generally linear (non-branched).

Because the invention enables dye molecules to be chemically bound to the branched polymer in the receiver sheet, it is possible to use host polymer materials of lower T_g than are generally required in the prior art. This means that dye molecules can have a significantly increased diffusivity through the coating, prior to interaction, resulting in a

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more even distribution of dye through the coating than has been possible hitherto, particularly avoiding high dye concentrations at or near the coating surface.

The host polymer thus preferably has a $T_g < 50^\circ\text{C}$, and more preferably $< 25^\circ\text{C}$. Good results have been obtained using the polyester Vylon 103 (Vylon is a Trade Mark) from Toyobo, which has a T_g of 47°C as the host polymer in a receiver medium for use in thermal dye transfer printing. Vylon 103 has good compatibility with a wide range of dyes.

Certain commercially available highly branched polymer products as supplied include an amount of generally linear, non-highly branched polymer, and it is possible that this non-highly branched content of the highly-branched product may function as the host polymer.

The highly branched polymer is suitably present in an amount in the range 10 to 90%, preferably 20 to 60% by weight of the coating.

The highly branched polymer is dispersed throughout the host polymer and functions as a molecular heterophase, presenting a very large surface area within the host polymer. By dispersed is meant a system which either shows no large scale heterogeneity (ie $> 1\mu\text{m}$), or else if heterogeneity does exist the refractive indices of the two polymers are suitably matched. The highly branched polymer is substantially immobile within the host polymer at room temperature due to its large molecular size. Immobility can be enhanced, if required, by low level cross-linking of surface functional groups of the highly branched polymer with the host polymer, eg using epoxides or melamine chemistry, to form an interpenetrating network with the host polymer.

The substrate is typically in the form of a film or sheet of suitable material. Typical substrate material include polymeric materials having suitable properties including dimensional stability, optical transparency, translucency or opacity, tensile strength, adhesion characteristics, thermal stability, hardness etc for the intended purpose. Transparent polymeric substrate materials suitable for use in the production of transparencies include sheets or films of polyester eg poly(ethyleneterephthalate) (PET) such as Melinex (Melinex is a Trade Mark) or poly(ethylenenaphthalate) (PEN). Polycarbonate sheets may

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also be used for this purpose. Such transparent sheets typically have a thickness in the range about 50 μ m to about 150 μ m. Other possible polymeric materials include polysulphones, polyvinyl chloride, polystyrene, polyimides, polyolefins, polymethyl methacrylate, cellulose esters such as cellulose acetate etc. A wide range of paper, card and laminated materials may also be used as the substrate.

To promote adhesion of the ink absorbent layer to a polymeric substrate, it is often desirable first to treat a surface of the substrate with a priming medium. Creation of a priming layer is conveniently effected by treating a surface of a polymeric substrate with an agent known in the art to have a solvent or swelling action on the substrate polymer. Examples of such conventional agents, which are particularly suitable for the treatment of a polyester substrate, include a halogenated phenol dissolved in a common organic solvent e.g. a solution of p-chloro-m-cresol, 2,4-dichlorophenol, 2,4,5- or 2,4,6-trichlorophenol or 4-chlororesorcinol in acetone or methanol. In addition, and preferably, the priming medium may contain a partially hydrolysed vinyl chloride-vinyl acetate copolymer. Such a copolymer conveniently contains from 60 to 98% of vinyl chloride, and from 0.5 to 3% of hydroxyl units, by weight of the copolymer. The molecular weight (number average) of the copolymer is conveniently in a range of from 10,000 to 30,000, and preferably from 16,500 to 25,000. Desirably the priming layer comprises a polyester material.

If desired, a plurality of priming layers may be sequentially applied to the substrate of receiver medium according to the present invention.

The priming medium is suitably applied at a concentration level which will yield a priming layer having a relatively thin dry coat thickness, for example generally less than 2 μ m, and preferably less than 1 μ m.

The coating is conveniently formed by mixing the coating materials with a suitable solvent or solvent mixture, as is known in the art. The coating materials may be applied by any suitable coating technique, including those known in the field, eg by use of a Meier bar, by roller coating, rod coating, slide coating, curtain coating, doctor coating etc. The coating may then be dried in a known manner.

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The coating may be applied to the entire surface of the substrate or to only selected areas of the substrate surface. In the case of a sheet or film of substrate, the coating will typically be applied to at least one surface and possibly both surfaces (to enable double-sided printing).

Drying of the coating may be effected by conventional drying techniques, for example by suspending the coated substrate in a hot air oven maintained at an appropriate temperature. A drying temperature of about 130°C is usually suitable for a polyester substrate.

The thickness of the coating when dry may vary over a wide range, but is conveniently in the range 1µm to 100µm, preferably 50µm or less, especially in the range from 2µm to 10µm, for media for use in thermal dye transfer printing and in the range 10µm to 50µm for media for use in ink jet printing.

The coating desirably includes particulate filler material, to modify the mechanical properties of the coating and also (for media for use in ink jet printing) to modify the porosity of the coating. Suitable materials for this purpose include inorganic, organic or polymeric particulates such as silica including amorphous silica, crystalline silica, fumed silica, alumina, aluminium trihydrate, calcium carbonate, glass, clays, aluminium silicates, polyolefin particulates, organic pigments and mixtures thereof. Particulate filler material has a tendency to increase light scattering, reducing coating transparency, so this factor must be taken into consideration in relation to transparent substrates and coatings, while being of less relevance to opaque receiver media. The particulate filler material may additionally act to increase surface roughness of the coating, thus reducing the tendency of the coating to block, ie stick by wetting action to adjacent surfaces. Filler material particles suitably have a primary size in the range 5nm to 50µm. Fillers with a dimension much smaller than the wavelength of light can be used at higher loadings than larger fillers (because of their lower scattering) and therefore make a greater contribution to the mechanical properties of the coating, but are less efficient at creating surface roughness than are fillers with a major dimension of comparable size to the coating thickness. It is often desirable to incorporate fillers of two different sizes in order to optimise the overall properties of the coating.

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The receiver medium may include an optional top coat (or supercoat) over the coating, as is known in the art.

The receiver medium may optionally include one or more back coats on the side of the substrate remote from the dye-receiving surface. These are generally based on a cross-linked polymer binder, and are provided to fulfil a number of different roles, including providing increased friction to improve printer feed, providing antistatic properties and preventing transfer of dyes from one receiver sheet to another.

Other additives may optionally be included in the coating to improve properties of the coating. For example, anti-static materials may be included. As a further possibility lubricants and release agents, such as waxes and silicones, may be included in media for use in thermal dye transfer printing to reduce friction and/or adhesion at the coating surface.

In a further aspect, the invention provides a method of making a receiver medium, comprising applying to a dye-receiving surface of a substrate a coating comprising a highly branched functionalised polymer of generally globular form dispersed in a host polymer.

The receiver medium is typically used for digital imaging, eg by ink jet printing or thermal dye transfer printing, in known manner.

In another aspect, the invention thus provides a method of printing, comprising applying dye to the dye-receiving surface of receiver medium in accordance with the invention by a digital imaging technique.

A further aspect of the invention provides a digital imaging receiver medium/dye combination in which the receiver medium comprises a substrate having a dye-receiving surface bearing a coating comprising a highly branched functionalised polymer of generally globular form dispersed in a host polymer, and the dye is capable of interacting with the highly branched polymer.

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In use of a receiver medium in accordance with the invention, in preferred embodiments at least, dye molecules are able to move freely through the host polymer, resulting in good penetration and distribution of dye throughout the coating. The dye molecules interact with the highly branched polymer that is dispersed throughout the coating, and become fixed in position with good distribution throughout the coating, ie without undesirable concentration at the surface. By suitable adjustment of the coating it may be possible to arrange for some degree of stratification of the dye, with slightly higher concentrations of dye being bound slightly away from the coating surface where the dye is less vulnerable to fading, abrasion etc, without being concentrated too far from the coating surfaces which would result in poor image quality.

The invention will be further described, by way of illustration, in the following Examples, and with reference to the accompanying drawings, in which:

Figure 1 is a schematic representation of a dendritic polymer, having perfect branching and a regular growth pattern;

Figure 2 is a schematic representation of a hyperbranched polymer, having perfect branching and an irregular growth pattern;

Figure 3 is a graph of relative density versus distance (in μm) showing density profiles, before (full line) and after (dashed line) exposure to tetrahydrofuran (THF) vapour, across a printed edge of an acid functional dye printed onto a receiver medium comprising Vylon 103;

Figure 4 is a graph similar to Figure 3 showing results using a receiver medium comprising Vylon 103 and containing 25% by weight dendrimer; and

Figure 5 is a graph similar to Figure 3 showing results for similar receiver media, with and without dendrimer, before and after heating to 75°C for 45 days, with results for medium without dendrimer before heating shown by hatched line and after heating shown by dashed

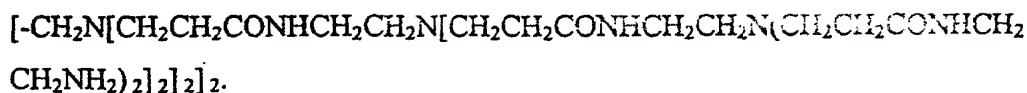
line, and results for medium with dendrimer before heating shown by full line and after heating shown by dot dash line.

Example

The following formulation was prepared and coated onto a synthetic laminate paper substrate using a K5 Meier coating bar to give a wet coated film approximately 36µm thick. The substrate comprises a cellulose core, voided polypropylene layers and a whitening layer on the front surface. The film was then dried by air and cured at 110°C for 90 seconds.

Starburst dendrimer, generation 2 (20% solids in methanol), supplied by Aldrich	1.25g
Vylon 103 polyester, supplied by Toyobo	0.75g
Toluene (solvent)	3.36g
Methyl ethyl ketone (solvent)	5.02g
Beetle 692 (melamine crosslinker (for Vylon and Tegomer), 50% solids), supplied by BIP	0.004g
PK3 (di-N-butylamine blocked para toluene sulfonic acid) 28% solids in methanol	0.004g
Tegomer 2311 (Hydroxy functional siloxane (to impart release properties)), supplied by T.H. Goldschmidt	0.002g

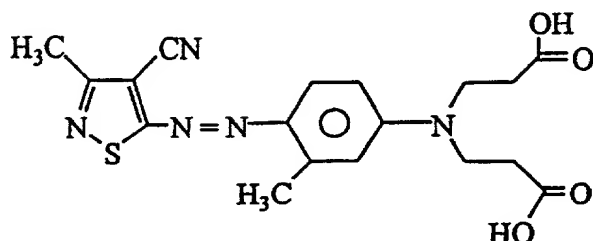
The Starburst dendrimer has a molecular weight (Mn) of 3256 and has the following formula:



The Starburst dendrimer has 16 primary amino surface functional groups.

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The dried film was then printed with a dyesheet bearing an acid functional dye (known as S167434) using a thermal dye transfer printer. The dye S167434 has the following structure:-



The acid functional dyesheet was prepared by coating with a K3 Meier bar a 4.2 wt% solution in THF (1:2 dye to poly(vinyl butyral) (supplied by Sekisui) binder ratio) onto 6µm polyester film supplied by Diafoil (Diafoil is a Trade Mark) precoated with a heat resistant back coat. The coated dyesheet was dried at 110°C for 30 seconds. For reference, a comparative receiver film comprising Vylon 103 at the same percentage solids of resin was prepared and printed in the same way.

The edge profiles of the printed films were then examined, perpendicular to the print head alignment, using a Sakura PDM5 scanning microdensitometer, manufactured by Konishiroku, in reflection mode. Two experiments were then performed on separate samples, the first where the samples were exposed to THF vapour for 120 minutes and the second where the samples were heated at 75°C for 45 days. After each period the edge profiles were re-recorded and the results are shown in Figures 3 to 5. The dye is very soluble in THF, and THF has the effect of swelling the coating.

Figure 3 show results using a receiver medium comprising Vylon 103 without dendrimer and demonstrates that after 120 minutes exposure to THF vapour diffusion has clearly taken place. In contrast, Figure 4 shows that with receiver medium in accordance with the invention, including dendrimer, no diffusion has taken place after 120 minutes exposure to THF.

Figure 5 shows results for Vylon 103 receiver medium, with and without dendrimer, before and after heating at 75°C for 45 days. Diffusion is clearly less in samples including dendrimer.

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Claims

1. A receiver medium for digital imaging, comprising a substrate having a dye-receiving surface bearing a coating comprising a highly branched functionalised polymer of generally globular form dispersed in a host polymer, wherein the host polymer has a Tg of $<50^{\circ}\text{C}$.
2. A receiver medium according to claim 1, wherein at least some of the end groups of the highly branched polymer carrying functional groups selected from OH, NH_2 , NHR, NR_2 , COOH, CONH_2 , NHCOR, CONHR, SO_2NH_2 , SO_2NHR , SO_3H , NHCONH_2 , NHCONHR , $=\text{NOH}$ and PO_3H , in which R is selected from CH, NO_2 , Cl, F, Br, $\text{C}_{1-6}\text{alkyl}$, $\text{C}_{1-6}\text{alkoxy}$, $\text{NHCOC}_{1-6}\text{alkyl}$, NHCophenyl , $\text{NHSO}_2\text{alkyl}$, $\text{NHSO}_2\text{phenyl}$ and aryloxy, and preferably from the groups having at least one H atom.
3. A receiver medium according to claim 1 or 2, wherein at least 50%, preferably at least 70%, of the end groups of the highly branched polymer carry functional groups.
4. A receiver medium according to any one of the preceding claims, wherein the highly branched polymer has a molecular weight of at least 1000.
5. A receiver medium according to any one of the preceding claims, wherein the radius of gyration of the highly branched polymer is in the range 2 to 10nm.
6. A receiver medium according to any one of the preceding claims, wherein the host polymer is selected from polymers including polyesters, acrylic polymers, vinyl polymers, poly(vinyl pyridine), vinyl pyrrolidone/vinyl acetate, vinyl chloride/vinyl acetate copolymers, and cellulosic polymers.
7. A receiver medium according to any one of the preceding claims, when the highly branched polymer is present in an amount in the range 10 to 90%, preferably 20 to 60%, by weight of the coating.

8. A receiver medium according to any one of the preceding claims, wherein the substrate is in the form of a film or sheet of material.
9. A receiver medium according to any one of the preceding claims, wherein the substrate is pre-treated prior to application of the coating.
10. A receiver medium according to any one of the preceding claims, wherein the coating has a thickness in the range $1\mu\text{m}$ to $100\mu\text{m}$, preferably $50\mu\text{m}$ or less, especially in the range from $2\mu\text{m}$ to $10\mu\text{m}$, for media for use in thermal dye transfer printing and in the range $10\mu\text{m}$ to $50\mu\text{m}$ for media for use in ink jet printing
11. A receiver medium according to any one of the preceding claims, wherein the coating includes particulate filler material.
12. A receiver medium according to any one of the preceding claims, including a top coat over the coating.
13. A receiver medium according to any one of the preceding claims, including one or more back coats on the side of the substrate remote from the dye-receiving surface.
14. A method of making a receiver medium, comprising applying to a dye-receiving surface of a substrate a coating comprising a highly branched functionalised polymer of generally globular form dispersed in a host polymer, wherein the host polymer has a $T_g < 50^\circ\text{C}$.
15. A method of printing, comprising applying dye to the dye-receiving surface of receiver medium in accordance with any one of claims 1 to 13 by a digital imaging technique.
16. A digital imaging receiver medium/dye combination in which the receiver medium comprises a substrate having a dye-receiving surface bearing a coating comprising a highly branched functionalised polymer of generally globular form dispersed in a host polymer having a $T_g < 50^\circ\text{C}$, and the dye is capable of interacting with the highly branched polymer.

17. A combination according to claim 16, wherein the receiver medium is in accordance with any one of claims 2 to 13.

18. A combination according to claim 16 or 17, wherein the dye has functional groups complementary to functional groups of the highly branched polymer.

19. A combination according to claim 16, 17 or 18, wherein the highly branched polymer and dye are capable of interacting by acid-base reaction.

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- (22) International Filing Date: 5 June 2000 (05.06.2000) (74) Agent: KEITH W NASH & CO.; 90-92 Regent Street, Cambridge CB2 1DP (GB).
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(71) Applicant (*for all designated States except US*): IMPERIAL CHEMICAL INDUSTRIES PLC [GB/GB]; Imperial Chemical House, Millbank, London SW1P 3JF (GB).
Published:
— With international search report.
- (72) Inventors; and
(75) Inventors/Applicants (*for US only*): BUTTERS, Alan
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: RECEIVER MEDIUM FOR DIGITAL IMAGING

(57) Abstract: A receiver medium for digital imaging comprises a substrate having a dye-receiving surface bearing a coating comprising a highly branched functionalised polymer of generally globular form, e.g. a dendrimer, dispersed in a host polymer. Functional groups at or near the surface of the branched polymer, may interact with and bind dye molecules having complementary functional groups, eg dyes as disclosed in WO 96/34766, e.g. by acid-base interaction, thus having the effect of chemically fixing the dye within the coating on the receiver medium. Because dye molecules can be chemically bound to the branched polymer in the receiver sheet, it is possible to use host polymer materials of lower T_g than generally required in the prior art, with the host polymer typically having a T_g of less than 50 °C. This means that dye molecules can have a significantly increased diffusivity through the coating, prior to interaction, resulting in a more even distribution of dye through the coating than has been possible hitherto. The invention also covers a method of making the receiver medium, a method of printing, and a receiver medium/dye combination.

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Fig.1.

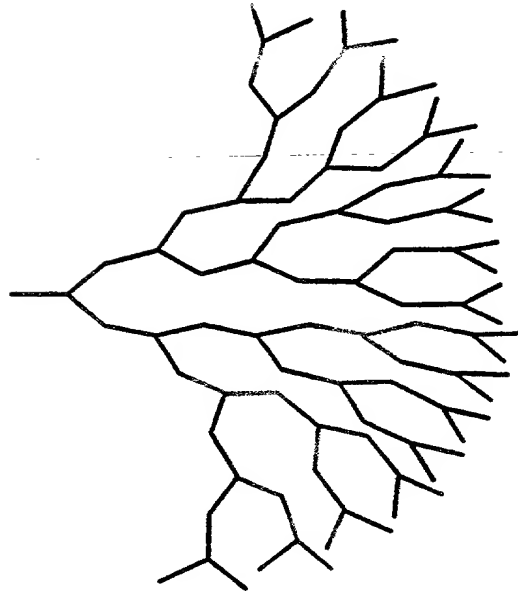


Fig.2.

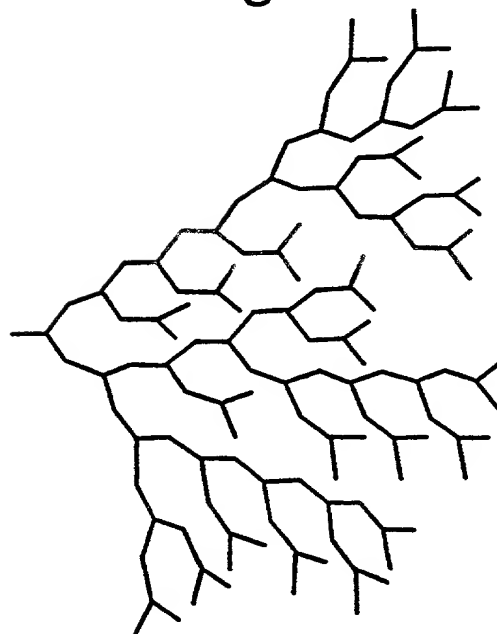
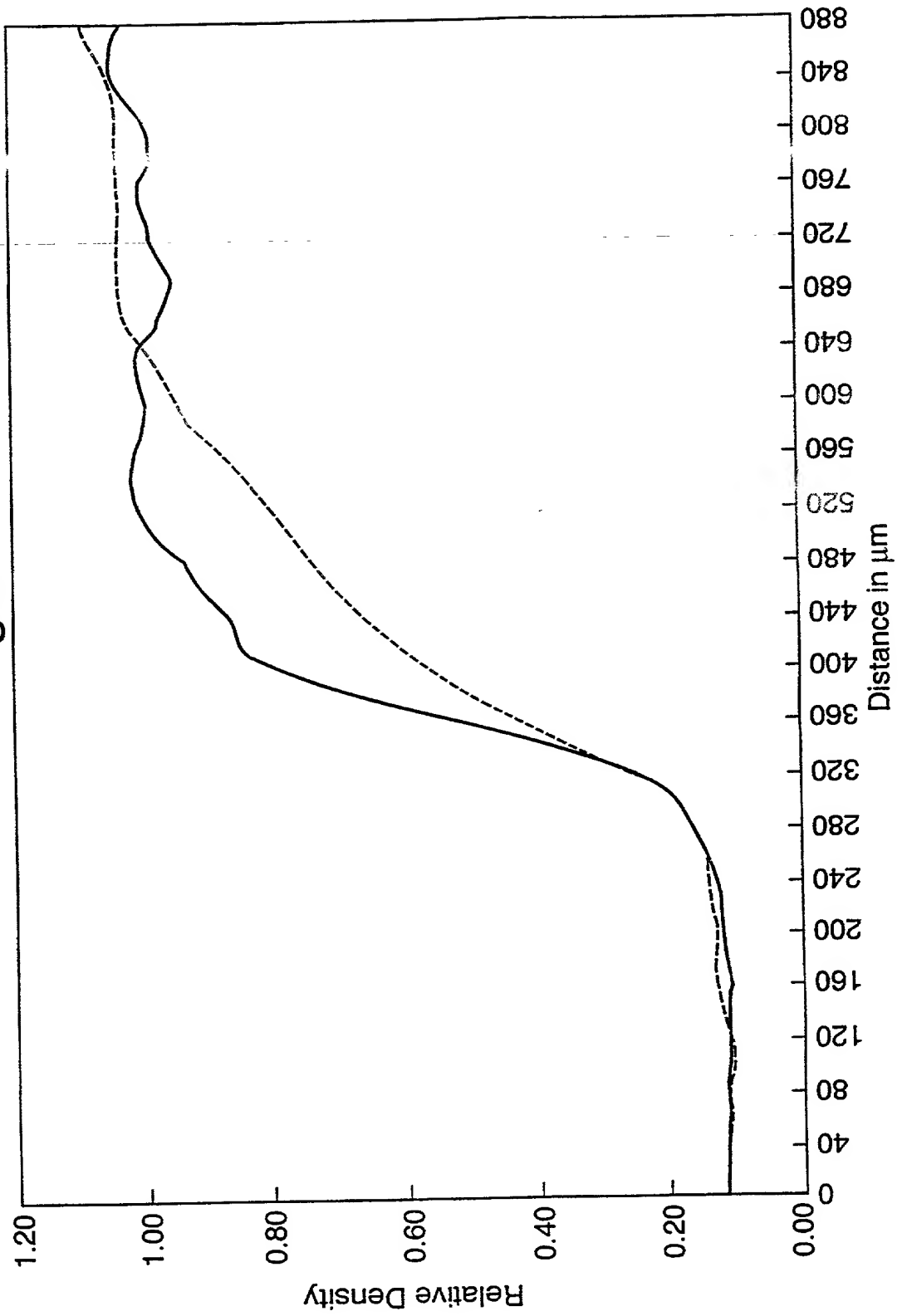
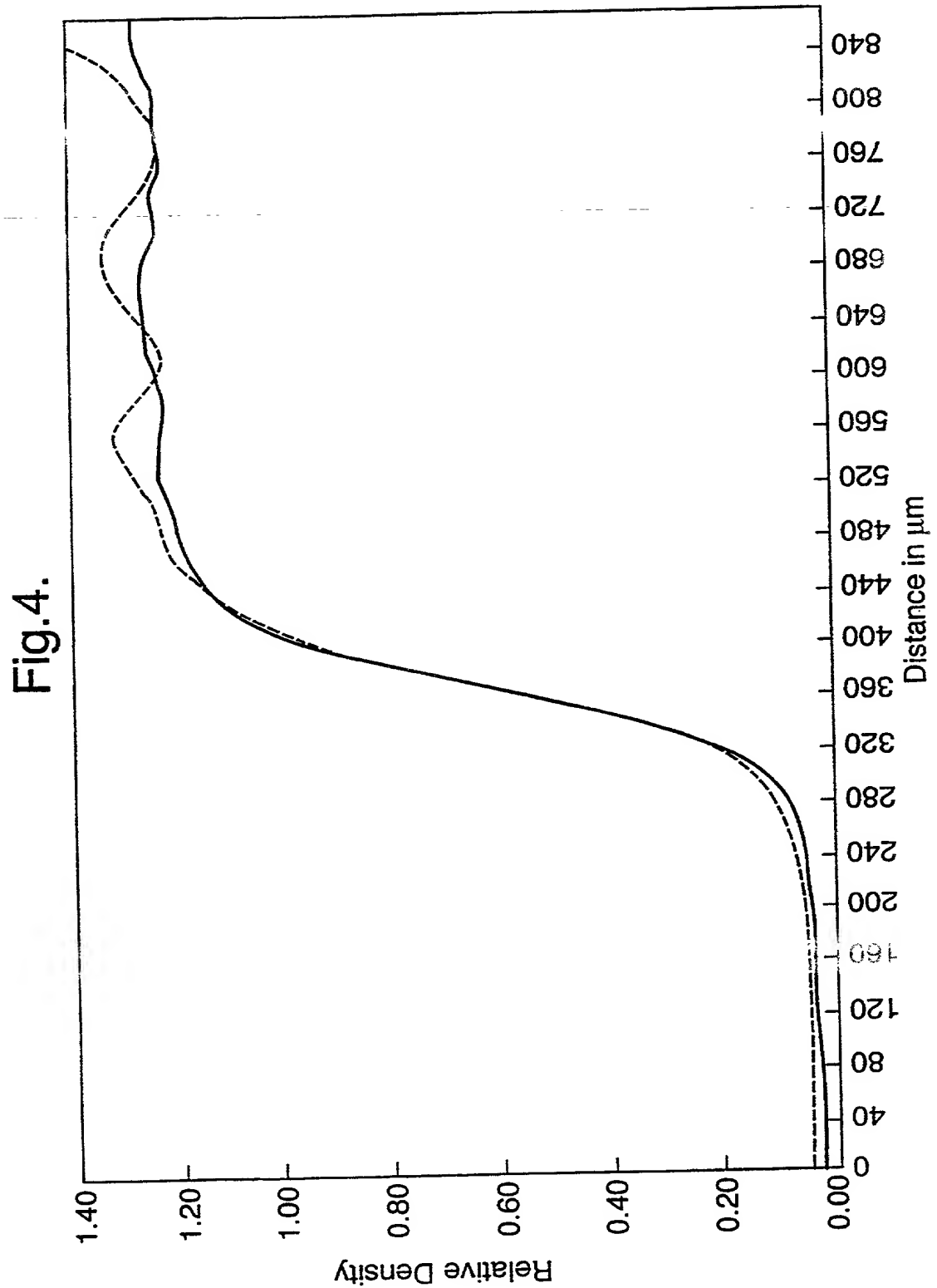
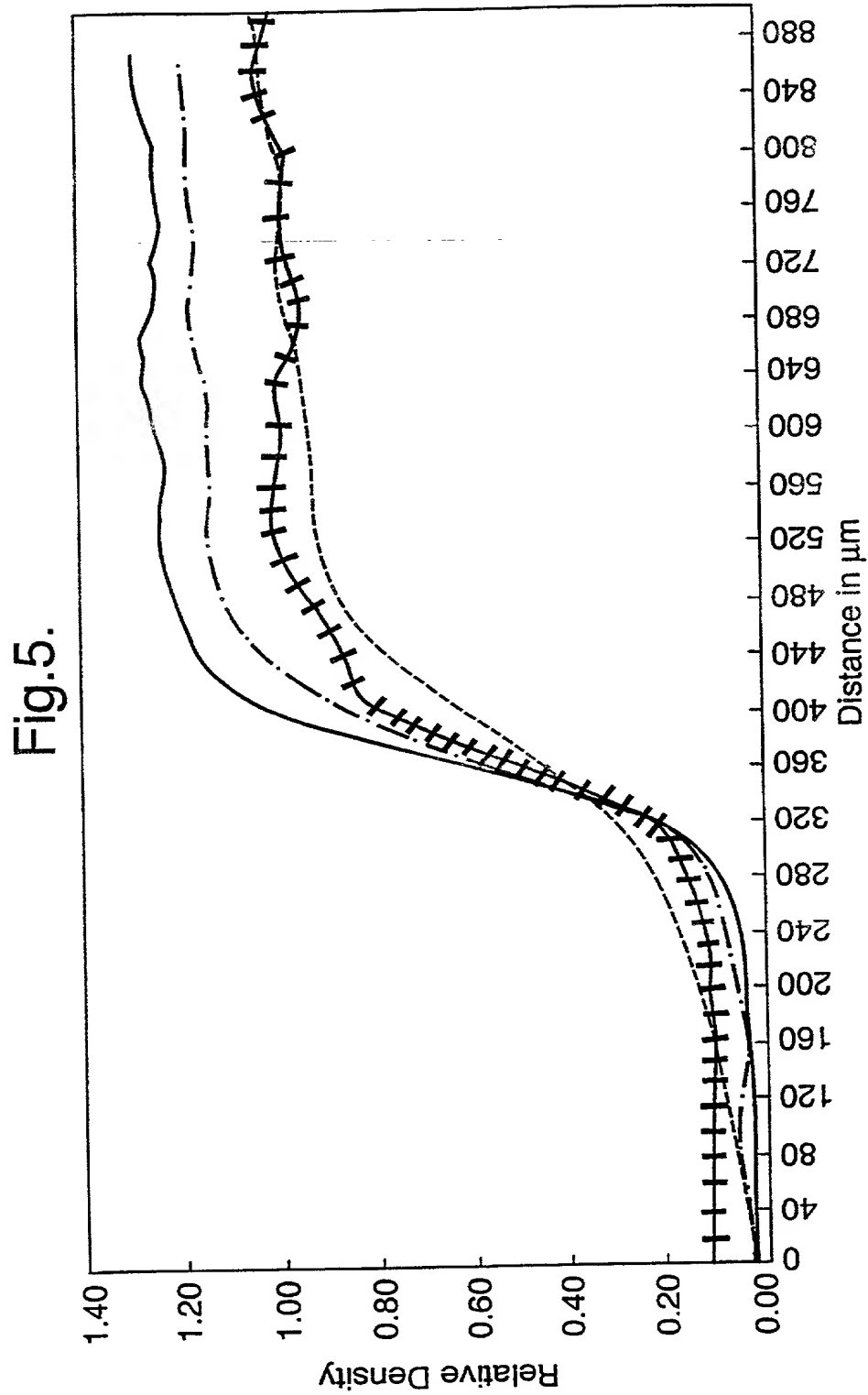


Fig.3.







FOR UTILITY/DESIGN
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ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED RECEIVER MEDIUM FOR DIGITAL IMAGING

MAR 26 2002

the specification of which ☒ CHECK applicable BOX(ES))
X A. ☐ is attached hereto.
BOX(ES) → B. ☐ was filed on _____ as U.S. Application No. _____ /
→ C. ☒ was filed as PCT International Application No. PCT/ GB00/02163 on June 5, 2000
and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number	Country	Day/MONTH/Year Filed
9913172.4	Great Britain	8 June 1999

Date first Laid-

open or Published

Date Patented

or Granted

Priority NOT Claimed

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PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)

Application No. (series code/serial no.)	Day/MONTH/Year Filed
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Status

pending, abandoned, patented

Priority NOT Claimed

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And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (703) 905-2000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their Firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.

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☐ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P0284110

(M#)

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FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FORM

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X A. ☐ is attached hereto.
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→ C. ☒ was filed as PCT International Application No. PCT/ CB00/02163 on June 5, 2000
and (if applicable to U.S. or PCT application) was amended on _____

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PRIOR FOREIGN APPLICATION(S)		Date first Laid- open or Published	Date Patented or Granted	Priority NOT Claimed
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9913172.4	Great Britain	8 June 1999		

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PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)		Status	Priority NOT Claimed
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	

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And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (703) 905-2000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 009 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or an attorney of that firm in writing to the contrary.

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City	State/Foreign Country	Country of Citizenship	
Mailing Address	1 Norwich Road, Claydon, Ipswich, Great Britain		
(include Zip Code)	IP6 0DQ		

- ☐ FOR ADDITIONAL INVENTORS see attached page.
☐ See additional foreign priorities on attached page (incorporated herein by reference).

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PATENT LAWS 35 U.S.C.

§102. Conditions for patentability; novelty and loss of right to patent

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months* before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

§103. Condition for patentability; non-obvious subject matter

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made. . . .
- (c) Subject matter developed by another person, which qualified as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

* Six months for Design Applications (35 U.S.C. 172).